

DELEGATED DECISION NOTIFICATION¹

REF NO ² D39845

LEAD DIRECTOR

Director of Childrens Services

AUTHORITY BY REFERENCE TO SCHEME OF DELEGATION:³

Officer Delegation Scheme – (Executive Functions) – Director of Children’s Services (d)

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SUBJECT⁴

Allocation of funding to clusters of schools
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DECISION⁵

COUNCIL FUNCTION <input type="checkbox"/>	EXECUTIVE DECISION (KEY) <input checked="" type="checkbox"/>	EXECUTIVE DECISION (SIGNIFICANT OPERATIONAL) <input type="checkbox"/>
NOT SUBJECT TO CALL IN	⁶ EXEMPT FROM CALL IN: YES / NO	NOT SUBJECT TO CALL IN

The Director of Childrens Services agreed that cluster allocations should be based on the formula supported by the Leeds Schools Forum.

AFFECTED WARDS

ALL

DETAILS OF CONSULTATION UNDERTAKEN

	Yes	No	Date
Executive Member	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____
Ward Councillors	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____
Chief Officers Affected	<input checked="" type="checkbox"/>	<input type="checkbox"/>	_____
Others (Specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<u>Leeds Schools Forum</u>

¹ This form is used both to give notice of an officer’s intention to make a decision in accordance with Executive and Decision Making Procedure Rule 4.4, and to record a decision which has been taken by an officer in accordance with Article 13.5.2 (Council Decisions) or Executive and Decision Making Procedure Rule 4.8 (Executive Decisions). The decision set out on this form therefore reflects the decision that it is intended will be made, or that has been made. Although set out in the past tense a decision for which notice is being given may be subject to amendment or withdrawal.

² This reference number will be assigned by Governance Services and notified to you

³ The relevant paragraph within the decision makers delegated powers should be identified.

⁴ A brief heading should be inserted

⁵ Brief details of the decision should be inserted. This note must set out the substance of the decision, options considered and the reason for deciding upon the chosen option, although care must be taken not to disclose any confidential or commercially sensitive information. Guidance on the substance of the note is available from Governance Services

⁶ For Key decisions only. If exempt from Call In brief reasons to be given at end of this DDN and details to be provided in the report. The Call In period expires at 5.00 pm on the 5th working day after publication. Scrutiny Support will notify decision makers of matters called in by no later than 12.00 noon on the 6th day.

ADVICE SOUGHT	YES	NO
Legal	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Finance	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Personnel	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Equal Opportunities	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other Please Specify	<input type="checkbox"/>	<input checked="" type="checkbox"/> _____

CAPITAL FUNDING APPROVAL REQUIRED	YES	NO
Funding Approval Required	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Injection Approval Required	<input type="checkbox"/>	<input type="checkbox"/>
(If yes to either, you must complete the Financial Development Funding Approval box below.)		

FINANCIAL DEVELOPMENT FUNDING APPROVAL/INJECTION (CAPITAL SCHEMES ONLY)	Scheme Number:
	CSR Number:
	Date:
	(Name:)

DECLARED OFFICER / MEMBER INTERESTS ⁷	N/A
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EXEMPT/ CONFIDENTIAL APPENDIX	YES <input type="checkbox"/>	NO <input type="checkbox"/>	RULE NO 10.4 ⁸ ()
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CONTACT PERSON	Simon Darby	CONTACT NO ⁹ :	0113 2475178
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DECISION MAKER ¹⁰		DATE: 22/03/2013
	(Name: Nigel Richardson)	

	KEY	OTHER
¹¹ First publication (5 day notice)	26/03/2013	
Commencement for Call In	05/04/2013	
Last date for Call In	12/04/2013	
Implementation Date	15/04/2013	

<p>Key Decisions</p> <p>If not on List of Forthcoming Key Decisions for at least 28 clear days, the reason why it would be impracticable to delay the decision:-</p> <p>If exempt from Call In, the reason why Call In would prejudice the interests of the Council or the public:-/</p>

⁷ No Member having a disclosable pecuniary interest or officer having an interest in any matter (whether pecuniary or otherwise required to be declared) should take a decision in relation to that matter. Other interests of a non-disqualifying nature should be recorded here. Any dispensation in place in relation to the matter should also be recorded here.

⁸ Relevant Access to Information Procedure Rules to be quoted if there is an exempt appendix

⁹ Please insert a complete telephone number whether land line or mobile, rather than an extension number.

¹⁰ The signatory must be duly authorised by the Director to make the decision in accordance with the Department's scheme.

It is not acceptable for the signature to be 'pp' for an authorised signatory. For Key Decisions only, the date of the authorised signature signifies that, at the time, the Officer was content that the decision should be taken. However, should representations be received following public availability of reports the signatory will consider the effect which such representations should have upon the final decision.

¹¹ Governance Services will enter these dates

Report of Head of Finance – School Services

Report to Director of Children’s Services

Date: 19th March 2013

Subject: Allocation of funding to clusters of schools



Are specific electoral Wards affected? If relevant, name(s) of Ward(s):	<input checked="" type="checkbox"/> Yes ALL	<input type="checkbox"/> No
Are there implications for equality and diversity and cohesion and integration?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Is the decision eligible for Call-In?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Does the report contain confidential or exempt information? If relevant, Access to Information Procedure Rule number: Appendix number:	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Summary of main issues

1. The Leeds Schools Forum approved that the Local Authority could continue to hold £5.2m of the Dedicated Schools Grant centrally in 2013/14 to 2015/16, under the budget heading ‘Contribution to Combined Services’ on the understanding that the funding is fully utilised to support Clusters of Schools.
2. The Schools Forum approved the central retention on the understanding that that appropriate governance and performance mechanisms, which allowed Schools Forum to judge spending decisions and performance of clusters would be put in place.
3. The Schools Forum was consulted on 17th January as to whether the formula used to allocate the available funding to clusters should be amended. The formula used to allocate funding to clusters has remained the same over the period that clusters have been funded. The formula options presented were for a nearest fit model, that simply updated the formula to the factors allowed under school funding reform, together with options to progressively reduce the level of targeting.
4. The Schools Forum voted strongly in favour, 21 votes to 1, to support the nearest fit model to utilise the DfE simplified formula and retain the current level of targeting for the next three years. Details of the allocation are at appendix A.

Recommendation

5. The Director of Childrens Services is asked to approve the recommendation that cluster allocations should be based on the formula supported by the Leeds Schools Forum.

1 Purpose of this report

- 1.1 This report seeks to approve the funding formula to be used to allocate funding to clusters of schools for the period 2013/14 to 2015/16

2 Background information

- 2.1 The funding for clusters of schools originates from two previous specific grants. Approximately 50% is from an extended services sustainability grant and 50% from an extended services deprivation subsidy grant, giving a total of £5.2m
- 2.2 The two grants stopped in 2010/11 but the previous level of funding received by Leeds was included in the Dedicated Schools Grant from 2011/12 onwards.
- 2.3 The Schools Forum agreed that the Local Authority could continue to hold the previous level of grant funding centrally as a contribution to combined services with the requirement that it should be devolved to clusters of schools. This was carried out in 2011/12 and 2012/13 with allocations to School led clusters being based on the formula used to deliver the previous grant to extended service clusters. An amount of £50k was held centrally with the agreement of the Schools Forum to fund any maternity cover costs for cluster based staff.
- 2.4 Under the new funding arrangements brought in by the Government from April 2013/14 the funding formula used to delegate funding to individual schools must be simplified.

3 Main issues

- 3.1 Given the potential change over time in the remit of clusters and changes in DfE funding, particularly the introduction of the Pupil Premium, members of the Schools Forum were requested to consider the formula for the allocation of funding to clusters of schools and recommend a preferred option.
- 3.2 Options presented ranged from a continuation of the historic level of targeted funding, with 23% of funds allocated by lump sum and pupil numbers and 77% by targeting, to an option showing the impact of 50% of funding allocated by lump sum and pupil numbers and 50% by targeting.
- 3.3 The Schools Forum debated the various options and rationale for cluster funding. The overriding view was that Forum members wished the funding to remain targeted towards the most vulnerable pupils and 21 of the 22 members present voted in support of a formula option based on the new simple formula model but a nearest fit to the 2012/13 funding maintaining the level of targeted funding. The allocations are shown at appendix A.

4 Corporate Considerations

4.1 Consultation and Engagement

- 4.1.1 The Leeds Schools Forum is the statutory body through which schools are consulted over funding and other financial issues. The Schools Forum agreed in

October 2012 that the funding for clusters could continue to be held centrally and devolved to clusters. The Schools Forum was consulted on 17th January as to the formula that should be used to allocate the funding to clusters for the following three years.

4.2 Equality and Diversity / Cohesion and Integration

4.2.1 The current allocation mechanism targets a significant proportion of the available funding on the basis of deprivation and low prior attainment and the recommendation is that this should continue.

4.3 Council policies and City Priorities

4.3.1 The recommended funding formula supports the Children and Young People's Plan (CYPP) in ensuring that funding is targeted towards the areas of greatest need. The formula continues to target resources towards clusters supporting the most socially deprived pupils.

4.4 Resources and value for money

4.4.1 The funding for devolution to clusters is fully budgeted for within the Schools Budget, fully funded from the Dedicated Schools Grant.

4.5 Legal Implications, Access to Information and Call In

4.5.1 Whilst the Schools Forum must be consulted by the Local Authority, the approval of the individual cluster allocations rests with the City Council

4.6 Risk Management

4.6.1 N/A

5 Conclusions

5.1 The Leeds Schools Forum as the statutory representative and consultative body on school financial issues has been consulted on options for the allocation of funding to clusters over the next three years. The majority of members of the Schools Forum are Headteachers and Governors of Leeds Schools and Academies. There was overwhelming support from the schools forum that the funding should continue to be allocated with the current level of targeting.

6 Recommendation

6.1 The Director of Children's Services is asked to approve the recommended that cluster allocations should be based on the formula supported by the Leeds Schools Forum, with 2013/14 allocations as shown on Appendix A

7 Background documents¹

¹ The background documents listed in this section are available to download from the Council's website, unless they contain confidential or exempt information. The list of background documents does not include published works.

7.1 N/A

Cluster Funding 2013/14

Nearest Fit Simple Formula 2013/14	2013/14
Pupils Yr R to Yr 11	14.01%
Lump Sum	8.41%
TOTAL NUMBER BASED FUNDING	22.42%
EAL in first 3yrs of education	2.80%
Pupils not achieving 78points in EYFSA or L3 or below in E and M at KS2	11.21%
FSM (anytime in last 6 yrs)	60.76%
IDACI index (yr R to Yr 11)	2.80%
TOTAL TARGETED FUNDING	77.58%

CLUSTER	2013/14 Cluster Funding nearest fit model Recommended by Schools Forum			%ge of Pupils eligible for FSM over past 6 years	%ge of pupils not achieving 78points in EYFSA or achieving L3 or below in E and M at KS2
	Pupils Yr R to yr 11	Total Cluster Allocation 2013/14	Funding per pupil Yr R to Yr 11		
JESS (LS10SX, Beeston and Holbeck)	1,362	£118,132	£87	54.2%	42.4%
Open XS	4,746	£410,832	£87	48.4%	37.9%
Inner East	5,830	£497,399	£85	54.6%	37.2%
Chess	2,555	£207,921	£81	43.0%	49.8%
Middleton, Upper Beeston and Cottingley	3,661	£280,251	£77	49.5%	37.0%
Bramley	3,295	£243,911	£74	48.7%	32.6%
Seacroft Manston	4,898	£359,309	£73	48.3%	28.7%
Inner Armley	3,007	£207,433	£69	43.9%	26.6%
Farnley	2,034	£123,482	£61	39.2%	21.9%
NEtWORKS	2,626	£156,930	£60	37.8%	21.5%
Temple Newsam / Halton	3,899	£211,581	£54	32.9%	25.8%
Inner NW Hub	4,002	£212,162	£53	31.6%	16.9%
ES North West	2,573	£131,257	£51	29.2%	21.0%
Rothwell	4,543	£213,813	£47	29.4%	14.0%
Brigshaw	2,840	£118,534	£42	22.8%	19.3%
Morley	5,593	£230,146	£41	23.5%	18.3%
Pudsey	6,867	£277,392	£40	21.7%	17.0%
N.E.X.T	4,881	£194,928	£40	21.1%	17.7%
Alwoodley	3,939	£148,666	£38	20.3%	12.4%
Ardley and Tingley	2,999	£101,666	£34	18.8%	12.9%
EPOS	4,404	£143,320	£33	14.4%	10.4%
Horsforth	2,850	£85,315	£30	14.1%	8.7%
Garforth	3,264	£95,874	£29	13.2%	15.3%
Otley	2,592	£75,892	£29	12.9%	11.6%
Aireborough	5,777	£161,255	£28	13.2%	9.0%
	95,037	£5,007,400	£52.69	31.0%	22.1%

Cluster Funding reflects movement of Wigton Moor Primary from EPOS to Alwoodley
Contextual data added regarding FSM and prior attainment across clusters

Schools Forum considered options to reduce targeting to 70%, 60% and 50% of total funding.
After discussion and debate between Forum members there was a vote of Forum
Of 22 members present, 21 voted in support of the above option